



CALIFORNIA LOTTERY REGULATIONS

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1.0 DEFINITIONS¹**2.0 LOTTERY AUTHORITY**

- 2.1 COMMISSION COMMITTEES**
- 2.2 PUBLIC COMMENT AT COMMISSION MEETINGS**
- 2.3 ACTION BY DIRECTOR OR DEPUTY**
- 2.4 LOTTERY TICKET SALES**
- 2.5 SUBSCRIPTION SALES**
- 2.6 PROMOTIONS/FREE TICKETS OR MERCHANDISE**
- 2.7 SURPLUS PERSONAL PROPERTY**
- 2.8 SUBMISSION OF UNSOLICITED IDEAS**
- 2.9 USE OF PRIZE RESERVE FOR PRIZE AUGMENTATION AND PROMOTION**
- 2.10 SPONSORSHIPS**
- 2.11 STRATEGIC MARKETING PARTNERSHIPS**
- 2.12 INTERRUPTION OF GAME OR PROMOTION**
- 2.13 TERMINATION OF GAME OR PROMOTION**
- 2.14 EMPLOYEE RECOGNITION PROGRAM**
- 2.15 EFFECTIVE DATE FOR AMENDMENTS TO REGULATIONS**

¹ These definitions control, unless they conflict with more specific definitions elsewhere in these regulations.

3.0 LOTTERY GAMES

3.1 DAILY DERBY

3.2 DAILY 4

3.3 DAILY 3

3.4 FANTASY 5

3.5 HOT SPOT

3.6 MEGA MILLIONS

3.7 POWERBALL

3.8 RAFFLES

3.9 SUPERLOTTO PLUS

3.9.1. Authorization

The California Lottery may conduct SuperLotto Plus, a Draw game, pursuant to these regulations.

3.9.2. Method of Play

A. Selection Cost

Each SuperLotto Plus selection sells for \$1 per play, per Draw.

B. Play Selections

(1) A Player may make a selection and obtain a Ticket by:

- (a) Completing a Playslip and processing it on an SST or requesting that the Retailer process it, or
- (b) Selecting the Quick Pick feature on an SST or requesting that the Retailer use a Terminal to generate a Quick Pick selection.

- (2) Players must either select five numbers from the main field of 47 numbers and one number from the Mega number field of 27 numbers or choose Quick Pick to generate the selections.
- (3) If a Player inadvertently chooses the Quick Pick feature and also makes his/her own number selections, the Terminal (depending upon the type of Terminal used) will issue a Ticket containing either the Player's selections or the Quick Pick selections.
- (4) A SuperLotto Plus Ticket may contain multiple SuperLotto Plus plays.
- (5) Players may play the same selections in a limited number of Advance Plays on a single SuperLotto Plus Ticket. If a Player does not mark the number of Draws, only the next scheduled Draw will be selected automatically.
- (6) SuperLotto Plus Registered Plays purchased at Fuel Pump Terminals are Quick Pick only.

C. Draws

(1) Draw Procedures

SuperLotto Plus Draws are held every Wednesday and Saturday, immediately after Ticket sales close in Sacramento, California pursuant to established Draw Procedures. Ticket sales for each Draw close at 7:45 p.m. Pacific Time on the day of the Draw. The day, time, location, and manner of SuperLotto Plus Draws may be changed at the Director's discretion with prior notice.

(2) Winning Selections

- (a) The objective of SuperLotto Plus is to select the winning SuperLotto Plus numbers, five from the main field of 47 numbers and one from the Mega number field of 27 numbers.
- (b) Except as otherwise provided in these regulations, holders of valid Tickets or Registered Plays for a given Draw that match some or all of the winning numbers, irrespective of order, may be entitled to a Prize or Promotional Award.
- (c) If more than one SuperLotto Plus Player matches the winning numbers for a particular prize level in a SuperLotto Plus Draw, each

winning Player will share equally the respective SuperLotto Plus Prize Pool for that prize level.

- (d) Players are eligible to receive only the highest level Prize or Promotional Award for each winning selection. For example, a “5 of 5” level Winner will receive a Pari-mutuel share of the “5 of 5” Prize only, and will not receive a share of the “4 of 5” or “3 of 5” Prizes.

3.9.3. Eligibility for a Prize

- A. A valid, original SuperLotto Plus Ticket is the only proof of a Player’s selections and is the only acceptable instrument for Claiming a Prize or Promotional Award, except as otherwise provided in these regulations.
- B. The determination that a Ticket is a winning Ticket and that the Claimant is a Winner must be made in accordance with the Lottery Act, these regulations, and the official rules of the game or Promotion.

3.9.4. Odds and Prizes

- A. Odds of Winning Prizes and Prize Pool Allocation

Prize Levels	Odds	Percentage of Total Prize Pool Allocated
All 5 of 5 & Mega (Jackpot)	1 in 41,416,353	60.50%
All 5 of 5	1 in 1,592,937	3.00%
Any 4 of 5 & Mega	1 in 197,221	1.50%
Any 4 of 5	1 in 7,585	2.50%
Any 3 of 5 & Mega	1 in 4,810	2.25%
Any 3 of 5	1 in 185	11.00%
Any 2 of 5 & Mega	1 in 361	6.00%
Any 1 of 5 & Mega	1 in 74	5.75%
None of 5, Mega only	1 in 49	4.50%
Prize Reserve		3.00%
Totals	1 in 23	100.00%

- B. Prize Pool

The available SuperLotto Plus Prize Pool will be approximately 50% of total SuperLotto Plus sales, including Advance Play wagers, for that Draw. The available Prize Pool may include Prize Pool money rolled over from the previous Draw. Actual prize

expense may be lower or higher than the available Prize Pool based upon the funding required to support the Jackpot Prize.

C. Prizes

- (1) Prize amounts in all prize levels are paid on a Pari-mutuel basis and vary depending on sales and number of winners. However, all SuperLotto Plus levels have a minimum Prize of \$1, notwithstanding the estimated pool allocation or the number of winners.
- (2) Except for the SuperLotto Plus Jackpot, all SuperLotto Plus Prizes are paid to Winners in a single payment.
- (3) If there is no valid winning Ticket or Registered Play for a SuperLotto Plus prize level for a particular Draw, all Prize Pool money allocated to that level will be rolled over to the next SuperLotto Plus Draw and added to the Jackpot Prize Pool for that Draw, after the level allocations specified in these regulations have been made.
- (4) The prize amount in each prize level will be rounded down to the nearest whole dollar from the calculated amount, except where the share is calculated at \$0.99 or less, in which case the share will be rounded up to \$1. All Prize Breakage resulting from the rounding of Prizes will be added to the Prize Reserve.
- (5) The value of the SuperLotto Plus Jackpot is the last amount announced by the Lottery as the Jackpot for that Draw.
- (6) The Lottery will purchase bonds to fund the announced Jackpot annuity in accordance with these regulations and the graduated payment schedule set forth below. Investments are made and annuity payments are paid pursuant to Lottery-established policies and procedures and annuities are competitively bid.

Year	Annuity Payment as a % of Announced SuperLotto Plus Jackpot
1	1.81% 1.5051%
2	1.85% 1.5804%
3	1.92% 1.6594%
4	2.00% 1.7424%
5	2.08% 1.8295%
6	2.17% 1.9210%
7	2.26% 2.0170%
8	2.35% 2.1179%
9	2.44% 2.2238%
10	2.54% 2.3350%
11	2.64% 2.4517%
12	2.74% 2.5743%
13	2.85% 2.7030%
14	2.97% 2.8382%
15	3.09% 2.9801%
16	3.21% 3.1291%
17	3.34% 3.2855%
18	3.47% 3.4498%
19	3.61% 3.6223%
20	3.76% 3.8034%
21	3.91% 3.9936%
22	4.06% 4.1933%
23	4.23% 4.4029%
24	4.39% 4.6231%
25	4.57% 4.8542%
26	4.75% 5.0970%
27	4.94% 5.3518%
28	5.14% 5.6194%
29	5.35% 5.9004%
30	5.56% 6.1954%
	Total Percentage for All Years: 100%

- (7) A SuperLotto Plus Jackpot Winner has up to 60 days from the date the Lottery validates the winning Ticket or Registered Play and authorizes payment of the Prize to irrevocably elect to receive the Prize in a single cash payment instead of 30 annual installments.
- (8) The Cash Option for the Jackpot Prize is set prior to the Draw and is based on the estimated cost to fully fund the advertised annuity Prize. Such estimated cost is determined by market pricing for U.S. Treasury bonds. The Cash Option is paid in a single payment.

- (9) The Lottery holds payment until an election is made. If the Winner fails to make an election within the 60-day period, he/she will be deemed to have irrevocably elected to receive annuity payments.
- (10) In the case of Multiple Ownership Claims, the Cash Option payment election must be unanimous. In the absence of unanimous agreement, Winners will receive annuity payments.

3.9.5. Cancellations

- A. Except as otherwise provided in these regulations, SuperLotto Plus Tickets may be cancelled provided all of the following requirements are met: (1) the original Ticket (2) is returned to the original selling Retailer (3) on the same day it is purchased (4) prior to pool closure.
- B. The Retailer is prohibited from cancelling a Ticket unless the Player presents the original Ticket.
- C. Cancellation voids the Ticket and removes it from the Prize Pool.
- D. If a Ticket is cancelled in accordance with these provisions, the Lottery Terminal will issue a Cancellation Authorization Receipt that entitles the Player to a refund from the Retailer equal to the purchase price of the Ticket.
- E. Cancellation relieves the Lottery of any obligation to pay a Prize or Promotional Award in connection with the cancelled Ticket even if the Ticket was cancelled in error.

3.10 SCRATCHERS

**4.0 FUEL PUMP SALES PROGRAM, DRAW TEST GAMES,
2ND CHANCE DRAWS AND PROMOTIONS**

4.1 FUEL PUMP SALES PROGRAM

4.2 DRAW TEST GAMES

4.3 2ND CHANCE DRAWS

4.4 PROMOTIONS, GENERALLY

5.0 CLAIMING PRIZES

5.1 PROCEDURE FOR CLAIMING PRIZES

5.2 DEADLINES FOR CLAIMING PRIZES

5.3 CRITERIA FOR VALIDATION AND AUTHENTICATION

5.4 DETERMINING THE WINNER

5.5 PLAYER RESPONSIBILITY

5.6 MULTIPLE-WINNER CLAIMS

5.7 PRIZE PAYMENT – FEDERAL TAX WITHHOLDING AND OFFSETS

5.8 PUBLICATION OF INFORMATION REGARDING WINNERS

6.0 PRIZE PAYMENTS TO PAYEES OTHER THAN WINNERS

**6.1 PRIZE PAYMENTS PURSUANT TO STATUTORILY-AUTHORIZED
ASSIGNMENTS**

6.2 PRIZE PAYMENT ON THE DEATH OF A PAYEE

7.0 RETAILER REGULATIONS

7.1 PURPOSE

7.2 THRESHOLD REQUIREMENTS FOR APPLICANTS

7.3 APPLICATION FOR RETAILER CONTRACT

7.4 SELECTION OF RETAILERS

7.5 RETAILER CONTRACT TERMS AND CONDITIONS

7.6 CONTRACT TERMINATION AND PROBATION

7.7 LOTTERY'S USE OF CRIMINAL HISTORY/RELATED INFORMATION

7.8 AGGRAVATING AND MITIGATING FACTORS

7.9 APPLICANT AND RETAILER APPEALS

7.10 RETAILER COMPENSATION AND INCENTIVES

8.0 CONTRACTS

- 8.1 PROCEDURES FOR PROCUREMENT OF GOODS AND SERVICES VALUED AT MORE THAN \$100,000 AND EXCEPTIONS**
- 8.2 PROCUREMENTS VALUED AT \$100,000 OR LESS**
- 8.3 LIST OF INTERESTED VENDORS AND SERVICE PROVIDERS**
- 8.4 SMALL AND MICRO BUSINESS PARTICIPATION – ALL PROCUREMENTS**
- 8.5 DISABLED VETERAN BUSINESS ENTERPRISE (DVBE) PARTICIPATION – ALL PROCUREMENTS**
- 8.6 COMMISSION APPROVAL OF CONTRACTS**
- 8.7 DEBARMENT**

**9.0 CODE OF CONDUCT OF CALIFORNIA STATE LOTTERY
COMMISSION AND SENIOR MANAGEMENT**

9.1 CONFLICTS OF INTEREST

9.2 PROFESSIONALISM AND RESPECT

9.3 INDEPENDENCE

9.4 DILIGENCE

9.5 CONFIDENTIALITY

9.6 COMMISSION'S RESPECT FOR ROLE OF MANAGEMENT

9.7 COMMISSION AUTHORITY

9.8 COMPLIANCE WITH APPLICABLE LAWS

9.9 MEETINGS

9.10 TRANSPARENCY

9.11 NEPOTISM

9.12 REPORTING MISCONDUCT AND OTHER VIOLATIONS

**9.13 ACCEPTANCE OF CONSIDERATION OR EMPLOYMENT AFTER LEAVING
THE LOTTERY**